

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Beddome et al.

Docket No: 90099012

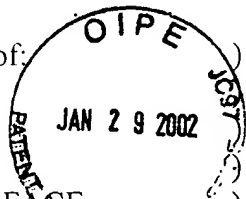
For: PRIME SURFACE  
RECOUPERATOR WITH  
MANIFOLD STIFFENING  
TUBES

Group Art Unit : 3743

Serial No.: 09/864,581

Examiner: To be assigned

Filed: May 24, 2001



*J. Kwon*  
#4  
3/28/2002  
RECEIVED  
FEB - 5 2002  
TECHNOLOGY CENTER 3700

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent of the documents listed below and identified on the attached form PTO/SB/08 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17 (p) is required.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class mail in an envelope  
addressed to Assistant Commissioner for Patents, Washington, DC  
20231 on 1/4/02

(Date of Deposit)

by

*Sheri N. Wilson*  
Sheri N. Wilson

1.4.02

(Date)



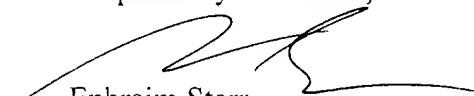
| U.S. PATENT DOCUMENTS |                  |   |
|-----------------------|------------------|---|
| US SERIAL NO.         | APPLICATION DATE | TITLE   |
| 09/652,949            | 08/31/2000       | HEAT EXCHANGER WITH BYPASS SEAL ALLOWING DIFFERENTIAL THERMAL EXPANSION |

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

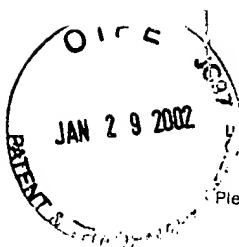
Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/08 be returned in accordance with MPEP §609.

Date: Jan. 4, 2002  
Honeywell International Inc.  
Law Department  
23326 Hawthorne Boulevard  
Suite 200  
Torrance, CA 90505  
Telephone No.: (310) 791-9120  
Fax: (310) 791-9125

Respectfully submitted,

  
Ephraim Starr  
Reg. No. 41,325  
Attorney for Applicants

RECEIVED  
FEB -5 2002  
TECHNOLOGY CENTER 3700



3743

Please type a plus sign (+) inside this box → ☐

PTO:55/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                      |                        |          |
|--|----------------------|------------------------|----------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/864,581             |          |
|  | Filing Date          | May 24, 2001           |          |
|  | First Named Inventor | Beddome et al.         |          |
|  | Group Art Unit       | 3743                   |          |
|  | Examiner Name        | To Be Assigned         |          |
| Total Number of Pages in This Submission   | 19                   | Attorney Docket Number | 90099012 |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | Return Postcard  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

RECEIVED  
FEB - 5 2002  
TECHNOLOGY CENTER 3700

RECEIVED

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                |
|--|--------------------------------|
| Firm or Individual name                    | Ephraim Starr, General Counsel |
| Signature                                  |                                |
| Date                                       | Jan. 4, 2002                   |

| CERTIFICATE OF MAILING  |                 |
|---|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1-4-02 |                 |
| Typed or printed name   | Sheri N. Wilson |
| Signature   |                 |
| Date  | 1-4-02          |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.